

Substitute Form PTO-1449 U.S: Department of Commerce (Modified) Patent and Trademark Office Information Disclosure Statem by Applicant (Use several sheets if necessary) MAR 2 1 2002

Attorney's Docket No. 13227-008001

January 16, 2001

Filing Date

Application No. 29/759,371

Applicant Michael F. Holick et al.

Group Art Unit 16147623

(37 CFR §1.98(b))

	Substent Documents								
Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	If Appropriate		
KKF	AA	3,733,318	05/15/73	Marx	540	63			

	Foreig	n Patent Do	cuments or F	Published Foreign	Patent /	Application	าร	
Examiner Initial	Desig. ID`	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Trans Yes	lation No
KKF	ABÌ	0 721 956	07/17/96	EP	-			
KKF	ACI	0 123 485	10/31/84	EP				
KKF	AD	94/15947	7/21/94	WIPO				
XXX	AE (93/21198	10/28/93	WIPO				

	Other D	ocuments (include Author, Title, Date, and Place of Publication)
Examiner	Desig.	
Initial	ID	Document
rect	AF	Althaus, et al., "Separation of some natural and synthetic corticosteroids in biological fluids and tissues by high-performance liquid chromatography" (chemical abstract no. 136023, vol. 96, no. 17, 1982) J. Chromatogr. 1982, 227 (1), 11-23. Abstract only.
KKF	AG	International Search Report for PCT/GB 01/00146; mailed December 5, 2001
WE	АН	Mattox, et al., "Synthesis of C-21 glucosiduronates of cortisone and related corticosteroids" (chemical abstract no. 13321, vol. 71, no. 3, 1969) Biochemistry 1969, 8(3), 1188-9
		Abstract only.

Examiner Signature

Date Considered

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Sheet 1 of 14

Substitute Form PTO-1449 (Modified)

U.S. Department of Commerce Patent and Trademark Office Attorney's Docket No. 13227-008001

Application No. 09/759,371

Information Disclosure Statement by Applicant

(Use several sheets if necessary)

Michael F. Holick et al.

Filing Date
January 16, 2001

Applicant

Group Art Unit 1614 7623

(37 CFR §1.98(b))

U.S. Patent Documents Filing Date Patent Examiner Desig. Class Subclass If Appropriate Patentee Issue Date ID Number Initial 26 Rico 6,150,336 11/21/2000 Deninno et al. AA 514 549 51 07/18/2000 McGill, III et al. 6,090,949 KKF AB 443 Bryant et al. 514 6,090,843 07/18/00 KUF AC 34 02/01/2000 Bosslet et al. 514 ΑD 6,020,315 KKF Gibson et al. 464 5,972,383 10/26/1999 ΑE 424 EXF Bosslet et al. 424 450 5,955,100 09/21/1999 KKF AF 460 514 5,935,995 08/10/1999 Bosslet et al. KKE AG 26 Brattsand et al. 5,908,833 06/01/1999 514 WE ΑH 448 424 5,904,930 05/18/99 Fischer et al. ΑI KKF 05/11/99 Hung ΑJ 5,902,582 130.1 424 KKF 03/02/1999 Wilson 514 ΑK 5,877,219 617 COS 02/16/1999 Labrie 178 ΑL 5,872,114 514 WKF 01/05/1999 Kaas et al. 89 AM 5,856,314 514 KKF Oettel et al. 01/05/1999 CKF 5,855,905 AN 426 648 12/22/1998 Thompson KKE 5,852,059 AO Batchelor et al. 284 12/08/98 514 5,846,976 ΑP KKF WG 12/01/1998 McClay et al. AQ 5,844,001 648 12/01/1998 Clay et al. 514 443 5,843,984 AR KRF 12/01/98 Swift 5,843,974 370 AS 514 WKF 12/01/1998 Boss 324 5,843,964 514 AT KKF 324 ΑU 5,843,962 12/01/1998 Dodge KILF 44 Johnson, Jr. et al. 5,843,914 12/01/1998 ΑV KKF Löser et al. ΔW 5,827,892 10/27/98 648 KKF Cullinan et al. 10/27/1998 ΑX 5,827,844 CKF 514 10/13/1998 WOF Sporn et al. 324 ΑY 5.821,254 12 Oldenburg et al. MAG 09/29/1998 514 ΑZ 5,814,603 464 09/22/1998 Gibson et al. KILF 424 AAA 5,811,120

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Date Considered

12-13-02

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute Disclosure Form (PTO-1449)

U.S. Department of Commerce Patent and Trademark Office Attorney's Docket No. 13227-008001

Application No. 09/759,371

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U.S. Patent Documents									
Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate		
KKET	ABB	5,808,061	09/15/1998	Dodge et al.	540	602			
rect	ACC	5,807,899	09/15/1998	Bohlmann et al.	514	708			
KKF	ADD	5,795,883	08/18/1998	Hesch et al.	514	172			
KKF	AEE	5,770,612	06/23/1998	Fontana	514	324			
KKE	AFF	5,763,611	06/09/1998	Kaas et al.	546	21			
VV5	AGG	5,753,639	05/19/1998	Labrie	514	169			
KKF	АНН	5,753,634	05/19/1998	Ebetino et al.	514	81			
vace	All	5,753,633	05/19/1998	Gaffar	514	79			
KKEF	AJJ	5,747,510	05/05/1998	Draper	514	33.3			
KKE	AKK	5,731,342	03/24/1998	Cullinan et al.	514	443			
KKF	ALL	5,731,327	03/24/98	Luke	514	324			
ext-	AMM	5,726,168	03/10/98	Cullinan et al.	514	176			
KKF	ANN	5,719,136	02/17/98	Chwalisz et al.	514	170			
KKT=	AOO	5,712,396	01/27/1998	Magnin et al.	546	22			
KKF	APP	5,710,285	01/20/98	Hauser et al.	549	4			
KKF	AQQ	5,708,010	01/13/1998	Glasebrook	514	324			
KKF	ARR	5,700,815	12/23/1997	Calnek et al.	514	324			
KKF	ASS	5,698,572	12/16/1997	Dodge	514	324			
KKF	ATT	5,693,656	12/02/1997	Black et al.	514	324			
KKF	AUU	5,691,355	11/25/97	Bryant et al.	514	324			
KICF	AVV	5,688,812	11/18/1997	Cullinan	514	324			
VICE	AWW	5,686,476	11/11/1997	May	514	324			
VICE	AXX	5,686,468	11/11/1997	Cullinan	514	324			
WKF	AYY	5,686,467	11/11/1997	Bumol et al.	574	324			
KKF	AZZ	5,672,610	09/30/1997	Zuckerman	514	324			
KKE	AAAA	5,668,120	09/16/1997	Shinoda et al.	514	102			
KKF	ABBB	5,663,184	09/02/1997	Bryant et al.	514	324			

Examiner Signature

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Application No. 09/759,371

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Applicant
Michael F. Holick et al.
Filing Date

Group Art Unit

(37 CFR §1.98(b))

January 16, 2001

1614-1623

			U.S. Pate	ent Documents			
Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
KKE	ACCC	5,659,087	08/19/97	Aikins et al.	568	2 7	
icu-	ADDD	5,658,931	08/19/97	Bryant et al.	514	319	
KK-	AEEE	5,646,137	07/08/1997	Black et al.	514	171	
WK=	AFFF	5,641,790	06/24/1997	Draper	514	333	·
KKF	AGGG	5,635,495	06/03/1997	White et al.	514	81	
VCXCF-	АННН	5,629,425	05/13/97	LaBell et al.	546	202	
KNF	AIII	5,629,303	05/13/1997	Labrie et al.	514	169	
VICE	AJJ	5,622,975	04/22/1997	Singh et al.	514	324	
KK-	AKKK	5,616,571	04/01/1997	Daifotis et al.	514	102	
reset	ALLL	5,612,317	03/18/1997	Holick	514	26	
KKF	AMMM	5,610,168	03/11/1997	Draper	514	333	
KKT	ANNN	5,610,167	03/11/1997	Cullinan	514	324	
WKF	A000	5,610,166	03/11/1997	Singh	514	324	
KKF	APPP	5,602,115	02/11/1997	Nugent	514	105	
VICE-	AQQQ	5,593,987	01/14/1997	Cullinan et al.	514	217.03	
KUF	ARRR	5,591,753	11/26/1996	Black et al.	514	324	
WE	ASSS	5,578,614	11/26/1996	Bryant et al.	514	324	
KKF	ATIT	5,569,772	10/29/1996	Hoard et al.	549	52	
KKE	AUUU	5,567,820	10/22/1996	Dodge et al.	546	202	
KKF	AVVV	5,563,054	10/08/1996	Briggs et al.	435	127	
KKF	AWWW	5,552,417	09/03/1996	Dodge	514	324	
KKE	AXXX	5,552,416	09/03/1996	Keohane	514	324	
KKF	AYYY	5,550,123	08/27/1996	Cullinan	514	217.03	
ect	AZZZ	5,545,641	08/13/1996	Bruns, Jr. et al.	514	317	
KKF	AAAAA	5,545,634	08/13/1996	Labrie	514	169	
KIEF	ABBBB	5,540,925	07/30/1996	Mikulski et al.	424	94.6	
CICF	ACCCC	5,534,526	07/09/1996	Cullinan	514	324	

Examiner Signature

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Michael F. Holick et al.

Applicant

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(37 CFR §1.98(b))

Group Art Unit 1614/623 January 16, 2001

U.S. Patent Documents								
Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate	
KKF	ADDDD	5,530,010	06/25/1996	Glasebrook	514	317		
KRF	AEEEE	5,525,624	06/11/1996	Gitter et al.	514	443		
XXF	AFFFF	5,521,214	05/28/1996	Bryant et al.	514	443		
KKT	AGGGG	5,521,198	05/28/1996	Zuckerman	514	324		
KKF	АНННН	5,514,826	05/07/1996	Hoard et al.	556	428		
KKF	AIII	5,512,296	04/30/1996	Cullinan	424	451		
KKS	AJJJ	5,510,358	04/23/1996	Palkowitz	514	324		
rect	AKKKK	5,508,392	04/16/1996	Holick	536	18.1		
KKF	ALLIL	5,508,292	04/16/1996	Sall et al.	514	324		
VICE-	AMMMM	5,502,074	03/26/1996	Cullinan et al.	514	443		
KKF	ANNNN	5,496,851	03/05/1996	Grinnell	514	443		
KKF	A0000	5,496,828	03/05/1996	Cullinan	514	324		
KRE	APPPP	5,494,920	02/27/1996	Glasebrook et al.	514	324		
LKE	AQQQQ	5,492,927	02/20/1996	Gitter et al.	514	443		
HUF	ARRRR	5,49,1,173	02/13/1996	Toivola et al.	514	648		
URI-	ASSSS	5,486,511	01/23/1996	Weintraub et al.	514	178		
KKE	ATTTT	5,484,797	01/16/1996	Bryant et al.	514	319		
rict-	AUUUU	5,478,847	12/26/1995	Draper	514	333		
KKF	AVVVV	5,470,883	11/28/1995	Stromberg	514	448		
KKE	AWWW	5,466,810	11/14/95	Godfrey	546	202		
RICE	AXXXX	5,457,117	10/10/1995	Black et al.	514	337		
VICE-	AYYYY	5,455,335	10/03/95	Kahne et al.	536	5		
KKF	AZZZZ	5,447,941	09/05/1995	Zuckerman	514	32¥	_	
WEF	AAAAA	5,446,203	08/29/95	McNelis	568	322		
KUF	ABBBBB	5,446,053	08/29/95	Keohane	514	324		
en=	ACCCCC	5,441,964	08/15/1995	Bryant et al.	514	324		
KKF	ADDDDD	5,439,931	08/08/1995	Sales	SIY	443		

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U.S. Department of Commerce Patent and Trademark Office Attorney's Docket No. 13227-008001

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1614 1623

U.S. Patent Documents								
Examiner	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate	
Initial	AEEEEE	5,428,181	06/27/1995	Sugioka et al.	552	506		
	AFFFFF	5,418,252	05/23/1995	Williams	514	443		
KKE	AGGGGG	5,409,911	04/25/1995	Tyler et al.	514	91		
VEKEF	АННННН	5;403,829	04/04/1995	Lehtinen et al.	514	102		
KAF	AIIII	5,393,763	02/28/1995	Black et al.	514	333		
KKF	LILLIA	5,362,720	11/08/1994	Labrie	514	169		
KKF	AKKKKK	5,360,797	11/01/1994	Johnson et al.	514	111		
KKG-	ALLLILL	5,338,731	08/16/1994	Breuer et al.	514	168		
KKF	АМММММ	5,317,015	05/31/1994	Ullrich et al.	514	79		
WEF	ANNNNN	5,312,954	05/17/1994	Breuer et al.	558	161		
KKE	A00000	5,260,065	11/09/1993	Mathur et al.	424	450		
KIG	АРРРРР	5,196,435	03/23/1993	Clemens et al.	514			
KKF	AQQQQQ	5,196,409	03/23/1993	Breuer et al.	514	108		
KKF	ARRRRR	5,192,525	03/09/1993	Yang et al.	424			
KKE	ASSSSS	5,189,212	02/23/1993	Ruenițz	562	468		
KK-	ATTITT	5,183,815	02/02/1993	Saari et al.	514	172		
WR	AUUUUU	5,157,027	10/20/1992	Biller et al.	514	107		
KKEF	AVVVV	5,118,667	06/02/1992	Adams et al.	514	12		
KK-	AWWWWW	5,103,036	04/07/1992	Magnin et al.	558	161		
KK	AXXXXX	5,024,833	06/18/1991	Del Bianco et al.	424	16/		
KKE	AYYYYY	4,897,503	01/30/1990	Asao et al.	558			
KKF	AZZZZZ	4;894,373	01/16/90	Young	514	239.2		
KKF	AAAAAA	4,888,331	12/19/1989	Elger et al.	514	170		
WE =	ABBBBBB	4,851,433	07/25/1989	Kraus	514	648		
KK	ACCCCCC	4,810,486	03/07/1989	Kelly et al.	429	1.1		
KKE-	ADDDDDD	4,803,227	02/07/1989	Brandes et al.	514	65/		
KKE	AEEEEEE	4,696,949	09/29/1987	Toivola et al.	514	648		

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U.S. Patent Documents									
Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate		
KA	AFFFFF	4,656,187	04/07/1987	Black et al.	514	422			
KKE	AGGGGG	4,623,660	11/18/86	Richardson	514	514			
VCF	АННННН	4,536,516	08/20/1985	Harper et al.	514	574			
XXX	AIIIII	4,521,410	06/04/1985	Holick et al.	514	26			
KKF	LILLIA	4,418,068	11/29/1983	Jones	514	337			
Was	AKKKKKK	4,329,296	05/11/1982	Tax	552	621			
KAT	VITITIT	4,310,523	01/12/1982	Neumann	424	SYO			
KKF	АММММММ	4,292,251	09/29/1981	Overbeek	55 J	629			
KKF	ииииии	4,220,599	09/02/1980	van der Vies	55 Z	638			
VICE-	A000000	4,198,435	04/15/80	Richardson	514	451			
KK	APPPPPP	3,983,144	09/28/1976	Leemhuis	552	650			
KKF	AQQQQQQ	3,966,713	06/29/1976	Hofmeister et al.	514	172			
KK-	ARRRRRR	3,944,576	03/16/1976	van den Broek et al.	552	617			
KVEF	ASSSSSS	3,929,768	12/30/1975	Brattsand et al.	540	63			
KKF	ATTTTTT	3,917,831	11/04/1975	Grunwell et al.	514	178			
KKT-	AUUUUUU	3,862,193	01/21/1975	Hirsch	552	520			
WO	AVVVVV	3,721,712	03/20/73	Palopoli et al.	564	323			
KEF	AWWWWW	3,427,300	02/11/69	Sarett et al.	536	17.2			
EKF	AXXXXXX	3,134,718	05/26/1964	Nobile et al.	514	172			
KKE	AYYYYYY	3,126,375	03/24/64	Ringold et al.	540	70			
KKT	AZZZZZZ	3,124,571	03/10/1964	Ringold et al.	435	53			
KIGT	ААААААА	3,104,246	11/17/1963	Amiard et al.	552	595			
KKF-	ABBBBBBB	3,053,865	09/11/1962	Taub et al.	552				
ext-	ACCCCCCC	3,053,832	09/11/1962	Gould	540	97			
KKF	ADDDDDDD	3,021,347	02/13/62	Allen et al.	74	574			
KKF	AEEEEEE	-3,014,938	12/26/1961	Mills et al.	552	 			
KKE	AFFFFFF	3,007,923	11/07/61	Muller et al.	540	35			

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	U.S. Patent Documents											
Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate					
KIG	AGGGGGG	2,897,218	07/28/1959	Sebek	552	572						
WE	АНННННН	2,897,216	07/28/1959	Oliveto, et al.	552	568						
WE	Allilli	2,837,464	06/03/1958	Nobile	435	61						
WE	LILLLILA	2,789,118	04/16/1957	Bernstein et al.	552	566						
KKF	AKKKKKKK	2,602,769	07/08/1952	Murray et al.	435	60						
KICK	VITITIT	2,447,325	08/17/48	Gallagher	552	548						
WE	АМММММММ	2,403,683	07/09/1946	Reichstein	552	535						

Foreign Patent Documents or Published Foreign Patent Applications										
Examiner	Desig.	Document	Publication	Country or			Trans	lation		
Initial	ID	Number	Date	Patent Office	Class	Subclass	Yes	No		
Ket-	АИИИИИИМ	WO 01/27129	04/19/2001	WIPO		-				
KICE	A0000000	WO 01/27127	04/19/2001	WIPO						
WCF	АРРРРРРР	WO 00/66612	11/09/2000	WIPO						
KKT	AQQQQQQQ	EP 0 721 956 B1	08/22/2000	ЕР						
IENCE	ARRRRRRR	WO, 99/07693	02/18/1999	WIPO						
KKF	ASSSSSS	EP 0 890 643 A2	01/13/99	EP						
WF	ATTITITI	WO 99/00019	01/07/1999	WIPO						
KKE	AUUUUUUU	EP 0 879 605 A2	11/25/98	EP	_					
KKE=	AVVVVVV	EP 0 795 334 A2	09/17/97	EP						
WE	AWWWWWW	WO 96/40616	12/19/1996	WIPO	_					
KKE	AXXXXXX	EP 0 735 141 A2	10/02/96	EP	_					
ext	AYYYYYY	WO 96/03995	02/15/1996	WIPO	_					
iace	A7272772	EP 0 683 170	11/22/1995	Europe	_					
KKE	ААААААА	WO 26720	10/12/1995	WIPO						
KKE	АВВВВВВВ	WO 95/11699	05/04/1995	WIPO						
KKE	ACCCCCCC	G 2 273 873 A	07/06/1994	UK						

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	Foreign Patent Documents or Published Foreign Patent Applications							
Examiner	Desig.	Document	Publication	Country or			Trans	lation
Initial	ID D	Number	Date	Patent Office	Class	Subclass	Yes	No
*VX	ADDDDDDDD	EP 0 595 133 A2	05/04/94	EP				
KKE	AEEEEEEE	WO 93/22334	11/11/1993	WIPO				
KKF	AFFFFFFF	WO 93/00123	01/07/1993	WIPO				
KCF	AGGGGGGG	WO 92/06068	04/16/1992	WIPO				
KKF	АННННННН	WO 92/04365	03/19/1992	WIPO	-			
KKF	AIIIIIII	WO 90/10638	09/20/1990	WIPO				
KKF	LILLILLA	63-7331	01/13/88	Japan				
KKF	AKKKKKKK	62-4527	01/10/87	Japan				
KKF	ALLLLLLL	WO 84/04041	10/25/1984	WIPO				
KKE	амммммммі	AU-B- 20504/83	05/03/1984	Australia				
KKF	ANNNNNNNN	EP 0 011 372 B1	02/09/83	EP				
XXF	A00000000	0 062 503 A1	10/13/1982	EP				
KKEF	APPPPPPPP	GB 1 338 547	11/28/1973	Great Britain	_	-		
KKE	AQQQQQQQQ	GB 1 175 219	12/23/1969	Great Britain		<u> </u>		
KKF	ARRRRRRR	1,059,548	02/22/67	United Kingdom				
KICF	ASSSSSSS	1,015,396	12/31/65	United Kingdom				
XXX-	АТТТТТТТТ	GB 916 77B ⁷⁷⁸	01/30/1963	Great Britain	_			
	AUUUUUUU	UK 584,062	-12/27/1944	UK				

(Other Documents (include Author, Title, Date, and Place of Publication)				
Examiner Initial	_				
KKT	AVVVVVV	severe osteogenesis imperfecta," Acta Paediatr. 97:64-68, Scandinavian University Press (1998)			
KKF	AWWWWWW	Bals-Pratsch et al., "Substitution of hypogonadal men with transdermal testosterone over one year" Acta Endocrinologica 118: 7-13 (1988)			
KKE	AXXXXXXX	Lett. 33:4775-4778 (1992).			
VIII-	AYYYYYYY	Bergrem et al., "Prednisolone Pharmacokinetics and Protein-binding in Patients with Portosystemic Shunt" Scandinavian Journal of Gastroenterology Vol. 18:273-276 (1983)			

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Application No. Substitute Form PTO-1449 U.S. Department of Commerce Attorney's Docket No. (Modified) Patent and Trademark Office 13227-008001 09/759,371 Applicant **Information Disclosure Statement** Michael F. Holick et al. by Applicant (Use several sheets if necessary) Filing Date **Group Art Unit** 1614 1623 January 16, 2001 (37 CFR §1.98(b))

	Other D	ocuments (include Author, Title, Date, and Place of Publication)
Examiner	Desig.	
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U.S. Department of Commerce Patent and Trademark Office Application No. Substitute Form PTO-1449 Attorney's Docket No. (Modified) 13227-008001 09/759,371 **Information Disclosure Statement** Applicant by Applicant (Use several sheets if necessary) Michael F. Holick et al. Filing Date Group Art Unit 1614 1623 January 16, 2001 (37 CFR §1.98(b))

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U.S. Department of Commerce Patent and Trademark Office Attorney's Docket No. 13227-008001

Application No. 09/759,371

Information Disclosure Statement by Applicant (Use several sheets if necessary)

Michael F. Holick et al.

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(37 CFR §1.98(b))

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		Applicant Michael F. Holick et al.	
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U.S. Department of Commerce Patent and Trademark Office Attorney's Docket No. 13227-008001

Application No. 09/759,371

Information Disclosure Statement by Applicant

(Use several sheets if necessary)

Michael F. Holick et al.

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(37 CFR §1.98(b))

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